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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/552,465	10/07/2005	Takao Harada	278363US0PCT	2247	
22859 7590 0J/14/2010 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET			EXAMINER		
			ZHU, WEIPING		
ALEXANDRI	A, VA 22314		ART UNIT	PAPER NUMBER	
			1793		
			NOTIFICATION DATE	DELIVERY MODE	
			01/14/2010	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

## Advisory Action Before the Filing of an Appeal Brief

Ī	pplication No. Applicant(s)			
10/552,465		HARADA ET AL.		
	Examiner	Art Unit		
	WEIPING ZHU	1793		

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 15 December 2009 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request

for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

a) The period for reply expires 4 months from the mailing date of the final rejection.

b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOT	ICE	OF	AP	PEAL

2. The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

### AMENDMENTS

3. The pi	roposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because
(a) □ ·	They raise new issues that would require further consideration and/or search (see NOTE below);
	They raise the issue of new matter (see NOTE below);
(c) 🔲 .	They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues fo
	anneal: and/or

(d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: \_\_\_\_\_. (See 37 CFR 1.116 and 41.33(a)).

The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).

 Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the

non-allowable claim(s). 7. To purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows:

Claim(s) allowed:

Claim(s) objected to: \_\_\_ Claim(s) rejected: \_

Claim(s) withdrawn from consideration:

## AFFIDAVIT OR OTHER EVIDENCE

8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).

9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).

10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER

11. X The request for reconsideration has been considered but does NOT place the application in condition for allowance because: of the reasons as stated in the final rejection.

12. Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s).

13. Other: See Continuation Sheet.

/Roy King/

Supervisory Patent Examiner, Art Unit 1793

/Weiping Zhu/ Examiner, Art Unit 1793 Continuation of 13. Other: The examiner has responded properly to all applicant's arguments in the applicant's amendment filed on June 24, 2009 after the non-final Office action dated March 26, 2009. The following responses are to applicant's new arguments incurred from the final Office action dated September 9, 2009.

In response to applicant's argument that Miller (\*105) requires hydrogen in extraction and/or hydrolique/faction, the examiner notes that Miller (\*105) discloses that the atmosphere during the extraction step can be air or an inert gas, e.g., nitrogen helium at atmospheric or elevated pressures (col. 4, line 67 to col. 5, line 14); and a process for thermal solvent refining or hydrolique/faction of non-anthractic coal at elevated temperatures under hydrogen pressure in a hydrogen donor solvent (abstract), it would have been obvious to one of ordinary skill in the art that Miller (\*105) only requires the hydrogen pressure in the thermal solvent refining or hydrolique/faction step which is a step following the extraction step to refine the extracted coal (col. 5, lines 5-31). The ground(s) of rejections as stated in the final Office action dated September 9, 2009 are rooper and therefore maintainer.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Weiping Zhu whose telephone number is 571-272-6725. The examiner can normally be reached on 8:30-16:30 Monday to Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Roy King can be reached on 571-272-1244. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://lipair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 87-17-9197 (toll-Free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000